

FACTS **WHAT DOES ACT ADVISORS, LLC DO WITH YOUR PERSONAL INFORMATION?**

Why? Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some, but not all, sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

What? The types of personal information we collect and share depends on the product or service you have with us. This information can include:

- Social Security number
- Account balances and assets
- Transaction history
- Employment
- Income
- Driver’s License number and information

How? All financial companies need to share customers’ personal information to perform their services and run their everyday business. In the section below, we list the reasons financial companies can share their customers’ personal information; the reasons ACT Advisors, LLC chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does ACT Advisors share?	Can you limit this sharing?
For our everyday business purposes— such as to process your transactions, maintain your account(s) or respond to court orders and legal investigations	Yes	No
For our marketing purposes— to offer our products and services to you	Yes	Yes
For joint marketing with affiliates	Yes	Yes
For joint marketing with non-affiliates	No	We don’t share
For our affiliates’ everyday business purposes— information about your transactions, accounts, etc.	Yes	Yes
For our non-affiliates’ everyday business purposes— information about your transactions, accounts, etc., as necessary	Yes	No
For our affiliates to market to you	No	We don’t share
For our non-affiliates to market to you	No	We don’t share

Questions? Call (828) 398-2802

Who we are

Who is providing this notice?

ACT Advisors, LLC
521 College Street
Asheville, NC 28801

What we do

How does ACT Advisors, LLC protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards (such as password protection or encryption) and restricting access to physical files (such as locked cabinets or building).

How does ACT Advisors, LLC collect my personal information?

We collect your personal information, for example, when you:

- Open an account
- Deposit money
- Seek advice about your investments
- Enter into an investment advisory contract
- Provide us with information about your investment or retirement portfolio or earnings

We also collect your personal information from other companies including credit bureaus and affiliates.

Why can't I limit all sharing?

Federal law gives you the right to limit only:

- Sharing for affiliates' everyday business purposes
- Affiliates from using your information to market to you
- Sharing for non-affiliates to market to you

State laws and individual companies may give you additional rights to limit sharing.

What happens when I limit sharing for an account I hold jointly with someone else?

Your choices will apply to everyone on your account – unless you tell us otherwise.

Definitions

Affiliates	<p>Companies related by common ownership and/or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none">• We may share with our affiliates for everyday business purposes.
Non-affiliates	<p>Companies not related by common ownership and/or control. They can be financial or nonfinancial companies.</p> <ul style="list-style-type: none">• We do not share with non-affiliates so that they can market to you.• We may share information about your accounts and transactions with non-affiliates (attorneys, accountants, and other business professionals), as necessary, with your permission• We may share with broker-dealer firms having regulatory requirements to supervise certain of our activities.
Joint marketing	<p>A formal agreement between non-affiliated financial companies that together market financial products or service to you.</p> <ul style="list-style-type: none">• We may jointly market with affiliates.• We do not jointly market with non-affiliates.