



Form ADV Part 2B
Investment Adviser Brochure Supplement

Supervisor: Jennifer English

Supervised Persons:
Roger "Wes" Johnson, CFP®

June 2021

This brochure supplement provides information about the Firm's Supervised Persons that supplements ACT Advisors' brochure. You should have received a copy of that brochure. Please contact Jennifer English, Chief Compliance Officer if you did not receive ACT Advisors' brochure or if you have any questions about the contents of this supplement.

Additional information about the Firm's Supervised Persons is also available on the SEC's website at www.adviserinfo.sec.gov. You may search this site using a unique identifying number, known as a CRD number for each Supervised Person.

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Asheville, NC 28801
Phone (828) 398-2802
Fax (828) 398-2801
<http://www.act-advisors.com>

Educational Background and Business Experience

ACT Advisors (the Firm) generally requires that its Investment Advisor Representatives (IARs) have a bachelor's degree or equivalent experience and further coursework demonstrating knowledge of financial planning and tax planning. Additionally, advisers must have work experience that demonstrates their aptitude for financial planning and investment management.

Roger "Wes" Johnson
CRD #4545355

Born 1974

Employment History:

ACT Advisors, LLC
Investment Advisor Representative

2014 – Present

LPL Financial, LLC
Registered Representative

2013 – Present

CUNA Brokerage Service, Inc.
Registered Representative

2005 – 2013

Formal Education after High School:

University of North Carolina at Chapel Hill; BS in Business Administration

Professional Designations and Licenses:

Certified Financial Planner- CFP®

Professional Certifications

Roger "Wes" Johnson maintains the following professional designation, which requires the following minimum requirements:

CFP® - Certified Financial Planner

Issued by: Certified Financial Planner Board of Standards, Inc.

Prerequisites/Experience Required: Candidate must meet the following requirements:

- A bachelor's degree (or higher) from an accredited college or university, and
- 3 years of full-time personal financial planning experience

Educational Requirements: Candidate must complete a CFP-board registered program, or hold one of the following:

- CPA

- ChFC
- Chartered Life Underwriter (CLU)
- CFA
- Ph.D. in business or economics
- Doctor of Business Administration
- Attorney's License

Examination Type: CFP Certification Examination

Continuing Education/Experience Requirements: 30 hours every 2 years

Disciplinary Information

Mr. Johnson has not been involved in any activities resulting in a disciplinary disclosure.

Other Business Activities

Mr. Johnson is a Registered Representative with LPL Financial LLC, a registered broker-dealer and member of FINRA. In such capacity, Mr. Johnson sells securities through LPL Financial and receives normal and customary commissions and other types of compensation, for example, mutual fund 12b-1 fees or variable annuity trails. The potential for receipt of commissions and other compensation when Mr. Johnson directs securities transactions for client accounts through LPL, may give Mr. Johnson an incentive to recommend investment products based on the compensation received, rather than on the client's needs. However, Mr. Johnson will only recommend securities transactions that he believes are suitable for the client's account.

Mr. Johnson is licensed as an insurance agent or broker and may be appointed with several insurance companies. He may earn separate compensation for transactions implemented through various insurance companies. Insurance compensation will be separate and distinct from investment advisory fees charged by the Firm.

These Outside Business Activities do not create a material conflict of interest with clients.

Additional Compensation

Mr. Johnson may receive economic benefits from persons other than clients in connection with advisory services. However, when Mr. Johnson provides investment advisory services, he is a fiduciary under the Investment Advisers Act and has a duty to act in your best interest and to make full and fair disclosure to you of all material facts and conflicts of interest.

Mr. Johnson provides services in an Asset Management account and may recommend mutual funds or other investment instruments. Loaded or commission bearing mutual funds are not permitted in an Asset Management account. Some of these mutual funds may pay distribution or service fees (e.g., 12b-1 fees) to the custodian (LPL Financial or TD Ameritrade).

Mr. Johnson may receive compensation from product sponsors. Compensation may include such items as gifts valued at less than \$100 annually, an occasional dinner or ticket to a sporting event, or reimbursement in connection with educational or training events or marketing or advertising initiatives. Such compensation may not be tied to the sale of any products.

Mr. Johnson may receive compensation as a result of your participation in custodian (LPL Financial or TD Ameritrade) advisory programs. The custodian may share a portion of the account fee you pay with him, which may be more than what he would receive at another investment advisor firm. This compensation may also include other types of compensation, such as bonuses, awards, other things of value and/or program benefits offered by LPL Financial or TD Ameritrade. LPL Financial or TD Ameritrade may pay him in different ways, such as payments based on production, awards of stock options to purchase shares, reimbursement of fees that he may pay to LPL Financial or TD Ameritrade for items such as administrative services, and other things of value such as free or reduced-cost marketing materials, payments in connection with the transition of association from another broker/dealer or investment advisor firm to LPL Financial or TD Ameritrade, advances of advisory fees, or attendance at LPL Financial or TD Ameritrade national conferences or top producer forums and events.

LPL Financial or TD Ameritrade may pay Mr. Johnson this compensation based on his overall business production and/or on the amount of assets serviced in LPL Financial or TD Ameritrade advisory programs. Therefore, the amount of this compensation may be more than what he would receive if a client participated in other LPL Financial or TD Ameritrade programs, programs of other investment advisor firms or paid separately for investment advice, brokerage, and other client services. Therefore, he may have a financial incentive to recommend an advisory program over other programs and services. However, he may only recommend a program or service that he believes is suitable for you.

Supervision

Jennifer English, Chief Compliance Officer, supervises Roger “Wes” Johnson, as named in this Form ADV Part 2B Investment Adviser Brochure Supplement. Jennifer English supervises all supervised persons by holding regular staff, investment, and other ad hoc meetings. In addition, Jennifer English regularly reviews client reports, emails, and trading, as well as employees’ personal securities transaction and holdings reports. Jennifer English may be reached at 828.398.2802.